

# Grounding HEX-Programs with Expanding Domains

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GTTV'13, Sep 15, 2013

# Motivation

## HEX-Programs

- Extend ASP by **external sources**
- Traditional safety criteria **not** sufficient: **value invention**
- **Strong safety** is **unnecessarily restrictive**
- **Liberal domain-expansion safe HEX program** are more flexible, but no effective algorithms exist yet

## Example

$$\Pi = \left\{ \begin{array}{ll} r_1: t(a). & r_3: s(Y) \leftarrow t(X), \&cat[X, a](Y). \\ r_2: dom(aa). & r_4: t(X) \leftarrow s(X), dom(X). \end{array} \right\}$$

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## Contribution

- New iterative **grounding algorithm** for liberal safety criteria
- Based on a **grounder for ordinary ASP programs**
- Avoids the worst case for the algorithm using **program decomposition**

# HEX-Programs

HEX-programs extend ordinary ASP programs by **external sources**

## Definition (HEX-programs)

A **HEX-program** consists of rules of form

$$a_1 \vee \dots \vee a_n \leftarrow b_1, \dots, b_m, \text{not } b_{m+1}, \dots, \text{not } b_n,$$

with classical literals  $a_i$ , and classical literals or an external atoms  $b_j$ .

## Definition (External Atoms)

An **external atom** is of the form

$$\&p[q_1, \dots, q_k](t_1, \dots, t_l),$$

$p$  ... external predicate name

$q_i$  ... predicate names or constants

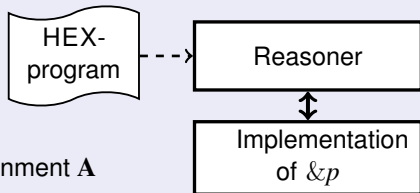
$t_j$  ... terms

Semantics:

$1 + k + l$ -ary Boolean **oracle function**  $f_{\&p}$ :

$\&p[q_1, \dots, q_k](t_1, \dots, t_l)$  is true under assignment  $\mathbf{A}$

iff  $f_{\&p}(\mathbf{A}, q_1, \dots, q_k, t_1, \dots, t_l) = 1$ .



# Liberal Safety: Basic Concepts

## Monotone Grounding Operator

$$G_{\Pi}(\Pi') = \bigcup_{r \in \Pi} \{r\theta \mid \mathbf{A} \subseteq \mathcal{A}(\Pi'), \mathbf{A} \not\models \perp, \mathbf{A} \models B^+(r\theta)\},$$

where  $\mathcal{A}(\Pi') = \{\mathbf{T}a, \mathbf{F}a \mid a \in A(\Pi')\} \setminus \{\mathbf{F}a \mid a \leftarrow . \in \Pi\}$

and  $r\theta$  is the instance of  $r$  under variable substitution  $\theta: \mathcal{V} \rightarrow \mathcal{C}$ .

## Example

Program  $\Pi$ :

$$\begin{aligned} r_1 &: s(a). & r_2 &: \text{dom}(ax). & r_3 &: \text{dom}(axx). \\ r_4 &: s(Y) \leftarrow s(X), \&cat[X, x](Y), \text{dom}(Y). \end{aligned}$$

Least fixpoint  $G_{\Pi}^{\infty}(\emptyset)$  of  $G_{\Pi}$ :

$$r'_1: s(a). \quad r'_2: \text{dom}(ax). \quad r'_3: \text{dom}(axx).$$

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**Intuition:** We call a program safe if this operator produces a finite grounding

# Liberal Safety

## Two concepts

- A **term** is **bounded** if  $G_{\Pi}(\Pi')$  contains only finitely many substitutions for it
- An **attribute** is **de-safe** if  $G_{\Pi}(\Pi')$  contains only finitely many values at this attribute position

## Idea



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## Idea

- 1 Start with empty set of **bounded terms**  $B_0$  and **de-safe attributes**  $S_0$
- 2 For all  $n \geq 0$  until  $B_n$  and  $S_n$  do not change anymore
  - a Identify additional bounded terms  $\Rightarrow B_{n+1}$   
(assuming that  $B_n$  are bounded and  $S_n$  are de-safe)
  - b Identify additional de-safe attributes  $\Rightarrow S_{n+1}$   
(assuming that  $B_{n+1}$  are bounded and  $S_n$  are de-safe)

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Identification of bounded terms in Step 2a by **term bounding functions (TBFs)**

Concrete safety criteria can be plugged in by specific TBF  $b(\Pi, r, S, B)$

$\Rightarrow$  TBFs are **a flexible means** that however must fulfill certain **conditions**

# Liberal Safety

**Range** of an attribute ... set of terms which occur in the position of the attribute.

## Definition (Term Bounding Function (TBF))

Function:  $b(\Pi, r, S, B)$ , where

- $\Pi$  ... Program
- $r$  ... rule in  $\Pi$
- $S$  ... set of already safe attributes
- $B$  ... set of already bounded terms in  $r$

Returns an **enlarged set of bounded** terms  $b(\Pi, r, S, B) \supseteq B$ , s.t. every  $t \in b(\Pi, r, S, B)$  has finitely many substitutions in  $G_{\Pi}^{\infty}(\emptyset)$  if

- (i) the attributes  $S$  have a finite range in  $G_{\Pi}^{\infty}(\emptyset)$  and
- (ii) each term in  $terms(r) \cap B$  has finitely many substitutions in  $G_{\Pi}^{\infty}(\emptyset)$ .

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Concrete TBFs based on (i) **syntactic** criteria, (ii) **semantic** properties (malign cycles in the attribute dependency graph or meta-information like finite domain and finite fiber), or (iii) **composed** TBFs.

# Grounding Algorithm

## Definition (Liberal Domain-expansion Safety Relevance)

A set  $R$  of external atoms is **relevant for liberal de-safety** of a program  $\Pi$ , if  $\Pi|_R$  is liberally de-safe and  $\text{var}(r) = \text{var}(r|_R)$ , for all  $r \in \Pi$ .

## Definition (Input Auxiliary Rule)

For HEX-program  $\Pi$  and  $\&g[\mathbf{Y}](\mathbf{X})$ , construct  $r_{inp}^{\&g[\mathbf{Y}](\mathbf{X})}$ :

- The head is  $H(r_{inp}^{\&g[\mathbf{Y}](\mathbf{X})}) = \{g_{inp}(\mathbf{Y})\}$ , where  $g_{inp}$  is a fresh predicate; and
- The body  $B(r_{inp}^{\&g[\mathbf{Y}](\mathbf{X})})$  contains each  $b \in B^+(r) \setminus \{\&g[\mathbf{Y}](\mathbf{X})\}$  such that  $\&g[\mathbf{Y}](\mathbf{X})$  joins  $b$ , and  $b$  is de-safety-relevant if it is an external atom.

# Grounding Algorithm

## Definition (External Atom Guessing Rule)

For HEX-program  $\Pi$  and  $\&g[\mathbf{Y}](\mathbf{X})$ , construct  $r_{guess}^{\&g[\mathbf{Y}](\mathbf{X})}$ :

- The head is  $H(r_{guess}^{\&g[\mathbf{Y}](\mathbf{X})}) = \{e_{r, \&g[\mathbf{Y}](\mathbf{X})}, ne_{r, \&g[\mathbf{Y}](\mathbf{X})}\}$
  - The body  $B(r_{guess}^{\&g[\mathbf{Y}](\mathbf{X})})$  contains
    - (i) each  $b \in B^+(r) \setminus \{\&g[\mathbf{Y}](\mathbf{X})\}$  such that  $\&g[\mathbf{Y}](\mathbf{X})$  joins  $b$  and  $b$  is de-safety-relevant if it is an external atom; and
    - (ii)  $g_{inp}(\mathbf{Y})$ .
- 
- Based on this, we devised a grounding algorithm GroundHEX for liberally domain-expansion safe HEX programs
  - Uses an iterative grounding approach

# Grounding Algorithm GroundHEX

**Input:** A liberally de-safe HEX-program  $\Pi$

**Output:** A ground HEX-program  $\Pi_g$  s.t.  $\Pi_g \equiv \Pi$

Choose a set  $R$  of *de-safety-relevant* external atoms in  $\Pi$

$\Pi_p := \Pi \cup \{r_{inp}^{\&g[Y](X)} \mid \&g[Y](X) \text{ in } r \in \Pi\} \cup \{r_{guess}^{\&g[Y](X)} \mid \&g[Y](X) \notin R\}$

Replace all external atoms  $\&g[Y](X)$  in all rules  $r$  in  $\Pi_p$  by  $e_{r, \&gY}(X)$

**repeat**

```
 $\Pi_{pg} := \text{GroundASP}(\Pi_p)$  /* partial grounding */
/* evaluate all de-safety-relevant external atoms */
for  $\&g[Y](X) \in R$  in a rule  $r \in \Pi$  do
   $\mathbf{A}_{ma} := \{\mathbf{Tp}(\mathbf{c}) \mid a(\mathbf{c}) \in A(\Pi_{pg}), p \in \mathbf{Y}_m\} \cup \{\mathbf{Fp}(\mathbf{c}) \mid a(\mathbf{c}) \in A(\Pi_{pg}), p \in \mathbf{Y}_a\}$ 
  /* do this under all relevant assignments */
  for  $\mathbf{A}_{nm} \subseteq \{\mathbf{Tp}(\mathbf{c}), \mathbf{Fp}(\mathbf{c}) \mid p(\mathbf{c}) \in A(\Pi_{pg}), p \in \mathbf{Y}_n\}$  s.t.  $\nexists a : \mathbf{Ta}, \mathbf{Fa} \in \mathbf{A}_{nm}$  do
     $\mathbf{A} := (\mathbf{A}_{ma} \cup \mathbf{A}_{nm} \cup \{\mathbf{Ta} \mid a \leftarrow \in \Pi_{pg}\}) \setminus \{\mathbf{Fa} \mid a \leftarrow \in \Pi_{pg}\}$ 
    for  $\mathbf{y} \in \{\mathbf{c} \mid r_{inp}^{\&g[Y](X)}(\mathbf{c}) \in A(\Pi_{pg})\}$  do
      Let  $O = \{\mathbf{x} \mid f_{\&g}(\mathbf{A} \cup \mathbf{A}_{nm}, \mathbf{y}, \mathbf{x}) = 1\}$ 
      /* add the respective ground guessing rules */
       $\Pi_p := \Pi_p \cup \{e_{r, \&g[y]}(\mathbf{x}) \vee ne_{r, \&g[y]}(\mathbf{x}) \leftarrow \mid \mathbf{x} \in O\}$ 
```

**until**  $\Pi_{pg}$  *did not change*

Remove input auxiliary rules and external atom guessing rules from  $\Pi_{pg}$

Replace all  $e_{\&g[y]}(\mathbf{x})$  in  $\Pi$  by  $\&g[y](\mathbf{x})$

**return**  $\Pi_{pg}$

# Grounding Algorithm

## Example

Program II:

$$\begin{aligned} f : d(a). d(b). d(c). \quad & r_1 : s(Y) \leftarrow \&diff[d, n](Y), d(Y). \\ & r_2 : n(Y) \leftarrow \&diff[d, s](Y), d(Y). \\ & r_3 : c(Z) \leftarrow \&count[s](Z). \end{aligned}$$



# Grounding Algorithm

## Example

Program  $\Pi$ :

$$\begin{aligned} f &: d(a). d(b). d(c). & r_1 &: s(Y) \leftarrow \&diff[d, n](Y), d(Y). \\ & & r_2 &: n(Y) \leftarrow \&diff[d, s](Y), d(Y). \\ & & r_3 &: c(Z) \leftarrow \&count[s](Z). \end{aligned}$$

$\Pi_p$  at the beginning of the first iteration:

$$\begin{aligned} f &: d(a). d(b). d(c). & r_1 &: s(Y) \leftarrow e_1(Y), d(Y). \\ g_1 &: e_1(Y) \vee ne_1(Y) \leftarrow d(Y). & r_2 &: n(Y) \leftarrow e_2(Y), d(Y). \\ g_2 &: e_2(Y) \vee ne_2(Y) \leftarrow d(Y). & r_3 &: c(Z) \leftarrow e_3(Z). \end{aligned}$$

$(e_1(Y), e_2(Y), e_3(Z))$  short for  $e_{r_1, \&diff[d, n]}(Y)$ ,  $e_{r_2, \&diff[d, s]}(Y)$ ,  $e_{r_3, \&count[s]}(Z)$ , resp.)

Evaluates  $\&count[s](Z)$  under all  $\mathbf{A} \subseteq \{s(a), s(b), s(c)\}$

Adds rules  $\{e_3(Z) \vee ne_3(Z) \leftarrow \mid Z \in \{0, 1, 2, 3\}\}$

# Program Decomposition

## Traditional HEX-algorithms

- 1 Program decomposition sometimes **necessary**
- 2 Intuition: Program is split **whenever value invention may occur**

## Example

Program II:

$$\begin{aligned} f : d(a). d(b). d(c). \quad & r_1 : s(Y) \leftarrow \&diff[d, n](Y), d(Y). \\ & r_2 : n(Y) \leftarrow \&diff[d, s](Y), d(Y). \\ & r_3 : c(Z) \leftarrow \&count[s](Z). \end{aligned}$$

needs to be partitioned into evaluation units

- 1  $u_1 = \{f, r_1, r_2\}$
- 2  $u_2 = \{r_3\}$

where  $u_1$  depends nonmonotonically on  $u_2$

# Program Decomposition

## New Grounding Algorithm GreedyGEG

Now: Program decomposition **not necessary**

But: Sometimes **useful**

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## New Grounding Algorithm GreedyGEG

Now: Program decomposition **not necessary**

But: Sometimes **useful**

**Input:** A liberally de-safe HEX-program  $\Pi$

**Output:** A generalized evaluation graph  $\mathcal{E} = \langle V, E \rangle$  for  $\Pi$

Let  $V$  be the set of (subset-maximal) strongly connected components of  $G = \langle \Pi, \rightarrow_m \cup \rightarrow_n \rangle$

Update  $E$

**while**  $V$  was modified **do**

```
  for  $u_1, u_2 \in V$  such that  $u_1 \neq u_2$  do
    if there is no indirect path from  $u_1$  to  $u_2$  (via some  $u' \neq u_1, u_2$ ) or vice versa then
      if no de-relevant  $\&g[\mathbf{y}](\mathbf{x})$  in some  $u_2$  has a nonmonotonic predicate input from  $u_1$  then
         $V := (V \setminus \{u_1, u_2\}) \cup \{u_1 \cup u_2\}$ 
        Update  $E$ 
```

**return**  $\mathcal{E} = \langle V, E \rangle$

# Implementation and Evaluation

#	w. domain predicates			w/o domain predicates		
	wall clock	ground	solve	wall clock	ground	solve
15	0.59	0.28	0.08	0.49	0.23	0.06
25	5.78	4.67	0.33	2.94	1.90	0.35
35	36.99	33.99	1.00	14.02	11.30	0.95
45	161.91	155.40	2.18	53.09	47.19	2.22
55	—	—	n/a	171.46	158.58	5.74
65	—	—	n/a	—	—	n/a

Table: Reachability

# Implementation and Evaluation

#	w. domain predicates			w/o domain predicates		
	wall clock	ground	solve	wall clock	ground	solve
10	0.49	0.01	0.39	0.52	0.02	0.41
20	3.90	0.05	3.62	4.67	0.10	4.23
30	16.12	0.18	15.32	19.59	0.36	18.32
40	48.47	0.48	46.71	51.55	0.90	48.74
50	115.56	1.00	112.14	119.40	1.79	114.11
60	254.66	1.84	248.88	257.78	3.35	248.51

Table: Set Partitioning

# Implementation and Evaluation

#	w. domain predicates			w/o domain predicates		
	wall clock	ground	solve	wall clock	ground	solve
5	0.06	<0.005	0.01	0.08	0.02	0.01
10	0.14	<0.005	0.08	1.32	1.12	0.10
11	0.27	<0.005	0.19	2.85	2.43	0.27
12	0.32	<0.005	0.23	6.05	5.53	0.26
13	0.69	0.01	0.60	12.70	11.76	0.61
14	0.66	<0.005	0.57	28.17	26.70	0.73
15	1.66	0.01	1.49	59.73	57.14	1.46
16	1.69	0.01	1.53	139.47	131.87	1.92
17	3.83	0.01	3.57	—	—	n/a
18	4.34	0.01	4.08	—	—	n/a
19	10.07	0.01	9.56	—	—	n/a
20	11.36	0.01	10.87	—	—	n/a
24	95.60	0.01	93.35	—	—	n/a
25	—	0.01	—	—	—	n/a

Table: Bird-penguin

# Implementation and Evaluation

#	w. domain predicates			w/o domain predicates		
	wall clock	ground	solve	wall clock	ground	solve
5	0.22	0.04	0.10	0.10	0.01	0.04
6	1.11	0.33	0.54	0.10	0.01	0.04
7	9.84	4.02	4.42	0.11	0.01	0.05
8	115.69	61.97	42.30	0.12	0.01	0.05
9	—	—	n/a	0.14	0.01	0.07
10	—	—	n/a	0.15	0.08	0.01
15	—	—	n/a	0.23	0.14	0.01
20	—	—	n/a	0.47	0.35	0.02
25	—	—	n/a	1.90	1.58	0.06
30	—	—	n/a	4.11	3.50	0.12
35	—	—	n/a	20.98	18.45	0.51
40	—	—	n/a	61.94	54.62	1.46
45	—	—	n/a	144.22	133.99	2.26
50	—	—	n/a	—	—	n/a

Table: Merge Sort



# Implementation and Evaluation

#	monolithic			greedy		
	wall clock	ground	solve	wall clock	ground	solve
4	0.57	0.11	0.38	0.25	0.01	0.18
5	2.12	0.67	1.26	0.44	0.01	0.37
6	18.93	7.45	10.86	0.88	0.01	0.80
7	237.09	170.12	65.12	1.65	0.01	1.57
8	—	—	n/a	3.13	0.01	3.05
9	—	—	n/a	7.41	0.02	7.31
10	—	—	n/a	15.92	0.02	15.81
11	—	—	n/a	31.19	0.02	31.05
12	—	—	n/a	63.16	0.02	62.95
13	—	—	n/a	172.75	0.03	172.38
14	—	—	n/a	256.60	0.01	256.44
15	—	—	n/a	290.01	<0.005	290.00

Table: Argumentation

# Conclusion

## ASP Programs with External Sources

- Ordinary safety criteria **not enough** because of **value invention**
- Traditional **strong safety** is **unnecessarily restrictive**  
⇒ **liberal domain-expansion safety**

## New Grounding Algorithm

- Based on **ordinary ASP grounders**
- Can ground **any** liberally de-safe program without splitting
- But: splitting sometimes **useful** for performance reasons

## Future Work

- Refine and extend concept of liberally de-safety
- Exploit further **syntactic and semantic properties** to improve grounding
- Extend research to **avoid the worst case**

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