## Exploiting Unfounded Sets for HEX-Program Evaluation

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## Motivation

## HEX-Programs

- Extend ASP by external sources
- Scalability problems due to minimality checking



## Contribution

- Exploit unfounded sets for minimality checking
- Search for unfounded sets encoded as separate search problem

■ Much better scalability

## Outline

1 Introduction

2 Answer Set Computation

3 Optimization and Learning

4 Implementation and Evaluation

5 Conclusion

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## HEX-Programs

HEX-programs extend ordinary ASP programs by external sources

## Definition (HEX-programs)

A HEX-program consists of rules of form

$$
a_{1} \vee \cdots \vee a_{n} \leftarrow b_{1}, \ldots, b_{m}, \text { not } b_{m+1}, \ldots, \text { not } b_{n},
$$

with classical literals $a_{i}$, and classical literals or an external atoms $b_{j}$.

## Definition (External Atoms)

An external atom is of the form

$$
\&\left[q_{1}, \ldots, q_{k}\right]\left(t_{1}, \ldots, t_{l}\right)
$$

$p \ldots$ external predicate name
$q_{i} \ldots$ predicate names or constants
$t_{j} \ldots$ terms
Semantics:

$1+k+l$-ary Boolean oracle function $f_{\& p}$ :
\& $\left[q_{1}, \ldots, q_{k}\right]\left(t_{1}, \ldots, t_{l}\right)$ is true under assignment $\mathbf{A}$
Implementation
of $\& p$
iff $f_{\& p}\left(\mathbf{A}, q_{1}, \ldots, q_{k}, t_{1}, \ldots, t_{l}\right)=1$.

## Examples

## \&rdf

The \&rdf External Atom
■ Input: URL
■ Output: Set of triplets from RDF file
External knowledge base is a set of RDF files on the web:

$$
\begin{gathered}
\operatorname{addr}(\mathrm{http}: / / \ldots / \text { data1.rdf }) . \\
\operatorname{addr}(\mathrm{http}: / / \ldots / \mathrm{data2} . \mathrm{rdf}) . \\
\operatorname{bel}(X, Y) \leftarrow \operatorname{addr}(U), \operatorname{drdf}[U](X, Y, Z) .
\end{gathered}
$$

## Examples

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\end{gathered}
$$

## \&diff

$\& \operatorname{diff}[p, q](X)$ : all elements $X$, which are in the extension of $p$ but not of $q$ :

$$
\begin{aligned}
\operatorname{dom}(X) & \leftarrow \# \operatorname{int}(X) \\
\operatorname{nsel}(X) & \leftarrow \operatorname{dom}(X), \& \operatorname{diff}[\operatorname{dom}, \operatorname{sel}](X) \\
\operatorname{sel}(X) & \leftarrow \operatorname{dom}(X), \& \operatorname{diff}[\operatorname{dom}, n \operatorname{sel}](X) \\
& \leftarrow \operatorname{sel}(X 1), \operatorname{sel}(X 2), \operatorname{sel}(X 3), X 1 \neq X 2, X 1 \neq X 3, X 2 \neq X 3
\end{aligned}
$$

## Semantics of HEX-Programs

## Definition (FLP-Reduct [Faber et al., 2004])

For an interpretation A over a program $\Pi$, the FLP-reduct $f \Pi^{\mathbf{A}}$ of $\Pi$ wrt. $\mathbf{A}$ is the set $\{r \in \Pi \mid \mathbf{A} \models b$, for all $b \in B(r)\}$ of all rules whose body is satisfied under $\mathbf{A}$.

## Definition (Answer Set)

An interpretation $\mathbf{A}$ is an answer set of program $\Pi$ iff it is a subset-minimal model of the FLP reduct $f \Pi^{\mathbf{A}}$.

## Example

Program ח: $\quad \operatorname{dom}(a) \cdot \operatorname{dom}(b)$.

$$
\begin{aligned}
& p(a) \leftarrow \operatorname{dom}(a), \& g[p](a) . \\
& p(b) \leftarrow \operatorname{dom}(b), \& g[p](b) .
\end{aligned}
$$

where $\& g$ implements the following mapping:

$$
\emptyset \mapsto\{b\} ;\{a\} \mapsto\{a\} ;\{b\} \mapsto \emptyset ;\{a, b\} \mapsto\{a, b\}
$$

$\mathbf{A}=\{\mathbf{T} \operatorname{dom}(a), \mathbf{T} \operatorname{dom}(b), \mathbf{T} p(a), \mathbf{F} p(b)\}$ is a model but no subset-minimal model of

$$
f \Pi^{\mathbf{A}}=\{\operatorname{dom}(a) ; \operatorname{dom}(b) ; p(a) \leftarrow \operatorname{dom}(a), \& g[p](a)\}
$$

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## Answer Set Computation 2-Step Algorithm

1 Compute a compatible set (=answer set candidate) [Eiter et al., 2012]
2 Check minimality

## Answer Set Computation

## 2-Step Algorithm

1 Compute a compatible set (=answer set candidate) [Eiter et al., 2012]
$\_$Check minimality

## The Naive Minimality Check

1 Let A be a compatible set
2 Compute $f \Pi^{\mathbf{A}}$
3 Check if there is a smaller model than $\mathbf{A}$
Problem: Reduct has usually many models
Note: In practice, smaller models are rarely found

## Answer Set Computation <br> 2-Step Algorithm

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$\simeq$ Check minimality

## The Naive Minimality Check

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## Complexity

Minimality check is Co-NP-complete, lifting the overall answer set existence problem to $\Pi_{2}^{P}$ (in presence of disjunctions and/or nonmonotonic external atoms)

## Using Unfounded Sets [Faber, 2005]

## Definition (Unfounded Set)

A set of atoms $X$ is an unfounded set of $\Pi$ wrt. (partial) assignment A, iff for all $a \in X$ and all $r \in \Pi$ with $a \in H(r)$ at least one of the following holds:
$1 \mathbf{A} \not \vDash B(r)$
$2 \mathbf{A} \cup \neg \cdot X \mid \vDash B(r)$
з $\mathbf{A} \models h$ for some $h \in H(r) \backslash X$
(where $\mathbf{A} \dot{\cup} \neg . X=\{\mathbf{T} a \in \mathbf{A} \mid a \notin X\} \cup\{\mathbf{F} a \in \mathbf{A}\} \cup\{\mathbf{F} a \mid a \in X\}$ )

## Definition (Unfounded-free Assignments)

An assignment $\mathbf{A}$ is unfounded-free wrt. program $\Pi$, iff there is no unfounded set $X$ of $\Pi$ wrt. A such that $\mathbf{T} a \in \mathbf{A}$ for some $a \in X$.

## Theorem

A model $\mathbf{A}$ of a program $\Pi$ is is an answer set iff it is unfounded-free.

## Using Unfounded Sets

Encode the search for unfounded sets as SAT instance

## Unfounded Set Search Problem

Nogood Set $\Gamma_{\Pi}^{\mathbf{A}}=N_{\Pi}^{\mathbf{A}} \cup O_{\Pi}^{\mathbf{A}}$ over atoms $A(\hat{\Pi}) \cup\left\{h_{r}, l_{r} \mid r \in \Pi\right\}$ consisting of a necessary part $N_{\Pi}^{\mathbf{A}}$ and an optimization part $O_{\Pi}^{\mathbf{A}}$

■ $N_{\Pi}^{\mathbf{A}}=\{\{\mathbf{F} a \mid \mathbf{T} a \in \mathbf{A}\}\} \cup\left(\bigcup_{r \in \Pi} R_{r}^{\mathbf{A}}\right)$

- $R_{r, \mathbf{A}}=H_{r, \mathbf{A}} \cup C_{r, \mathbf{A}}$, where
- $H_{r, \mathbf{A}}=\left\{\left\{\mathbf{T} h_{r}\right\} \cup\{\mathbf{F} h \mid h \in H(r)\}\right\} \cup\left\{\left\{\mathbf{F} h_{r}, \mathbf{T} h\right\} \mid h \in H(r)\right\}$

■ $C_{r, \mathbf{A}}= \begin{cases}\left\{\left\{\mathbf{T} h_{r}\right\} \cup\right. & \\ \quad\left\{\mathbf{F} a \mid a \in B_{o}^{+}(r), \mathbf{A} \models a\right\} \cup\left\{\mathbf{t} a \mid a \in B_{e}(\hat{r})\right\} \cup & \\ \{\mathbf{T} h \mid h \in H(r), \mathbf{A} \models h\}\} & \\ \{ \} & \text { if } \mathbf{A} \models B(r) \\ \text { otherwise }\end{cases}$
Intuition: Solutions of $\Gamma_{\Pi}^{\mathbf{A}}$ correspond to potential unfounded sets of $\Pi$ wrt. A

## Using Unfounded Sets

Each unfounded set corresponds to a solution of $\Gamma_{\Pi}^{A}$

## Definition (Induced Assignment of an Unfounded Set)

Let $U$ be an unfounded set of a program $\Pi$ wrt. assignment $\mathbf{A}$. The assignment induced by $U$, denoted $I\left(U, \Gamma_{\Pi}^{\mathbf{A}}\right)$, is

$$
\begin{aligned}
& I\left(U, \Gamma_{\Pi}^{\mathbf{A}}\right)=I^{\prime}\left(U, \Gamma_{\Pi}^{\mathbf{A}}\right) \cup\left\{\mathbf{F} a \mid a \in A\left(\Gamma_{\Pi}^{\mathbf{A}}\right), \mathbf{T} a \notin I^{\prime}\left(U, \Gamma_{\Pi}^{\mathbf{A}}\right)\right\}, \text { where } \\
& I^{\prime}\left(U, \Gamma_{\Pi}^{\mathbf{A}}\right)=\{\mathbf{T} a \mid a \in U\} \cup\left\{\mathbf{T} h_{r} \mid r \in \Pi, H(r) \cap U \neq \emptyset\right\} \cup \\
&\left\{\mathbf{T} e_{8_{g}[\vec{p}]}(\vec{c}) \mid e_{\text {\& }_{[ }[\vec{p}]}(\vec{c}) \in A(\hat{\Pi}), \mathbf{A} \cup \neg \neg \cup U \models \mathcal{\& g}_{g}[\vec{p}](\vec{c})\right\} .
\end{aligned}
$$

## Proposition

Let $U$ be an unfounded set of a program $\Pi$ wrt. assignment $\mathbf{A}$ such that $\mathbf{A}^{\mathbf{T}} \cap U \neq \emptyset$. Then $I\left(U, \Gamma_{\Pi}^{\mathbf{A}}\right)$ is a solution to $\Gamma_{\Pi}^{\mathbf{A}}$.

## Using Unfounded Sets

Not each solution of $\Gamma_{\Pi}^{\mathrm{A}}$ corresponds to an unfounded set, but ...

## Proposition

Let $S$ be a solution to $\Gamma_{\Pi}^{A}$ such that
(a) $\mathbf{T} e_{\delta_{g}[\vec{p}]}(\vec{c}) \in S$ and $\mathbf{A} \not \models \& g[\vec{p}](\vec{c})$ implies $\mathbf{A} \dot{\cup} \neg . U \models \& g[\vec{p}](\vec{c})$; and
(b) $\mathbf{F} e_{\delta_{g}[\vec{p}]}(\vec{c}) \in S$ and $\mathbf{A} \models \& g[\vec{p}](\vec{c})$ implies $\mathbf{A} \dot{\cup} \neg . U \not \models \& g[\vec{p}](\vec{c})$
where $U=\{a \mid a \in A(\Pi), \mathbf{T} a \in S\}$. Then $U$ is an unfounded set of $\Pi$ wrt. $\mathbf{A}$.

## Our Approach

1 Compute a solution $S$ of $\Gamma_{\Pi}^{\mathrm{A}}$
2 Check if truth value of external atom replacement $e_{\&_{g}[\vec{p}]}(\vec{c})$ in $S$ is equal to truth value of $\& g[\vec{p}](\vec{c})$ under $\mathbf{A} \cup \neg . U$
3 If yes: $S$ represents an unfounded set
4 If no: continue with next solution of $\Gamma_{\Pi}^{A}$

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## Optimization and Learning

## Optimization

Generate additional nogoods $O_{\Pi}^{\mathrm{A}}$ to prune search space
■ Restrict search to atoms which are true in $\mathbf{A}$

- Try to avoid changes of truth values of external atoms


## Learning

■ Nogood exchange: Search for models $\leftrightarrow$ UFS search

- Learn nogoods from detected unfounded sets


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## Implementation

## Implementation

- Prototype implementation: DLVHEX
- Written in C++
- External sources loaded via plugin interface


## Technology

■ Basis: Gringo and CLASP

- CLASP serves also as SAT solver for UFS search
- Alternatively: self-made grounder and solver built from scatch


## Benchmark Results

|  | $n$ | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | $\ldots$ | 20 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| ¢ | explicit | 10.9 | 94.3 | - | - | - | - | - | - | - | - | - |
|  | +EBL | 4.3 | 34.8 | 266.1 | - | - | - | - | - | - | - | - |
|  | UFS | 0.2 | 0.3 | 0.8 | 1.8 | 4.5 | 11.9 | 32.4 | 92.1 | 273.9 | - | - |
|  | +EBL | 0.1 | 0.1 | 0.2 | 0.2 | 0.3 | 0.4 | 0.6 | 0.8 | 1.2 | $\ldots$ | 11.1 |
|  | explicit | 0.7 | 4.3 | 26.1 | 163.1 | - | - | - | - | - | - | - |
|  | +EBL | 0.8 | 4.9 | 31.1 | 192.0 | - | - | - | - | - | - | - |
|  | UFS | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.2 | $\ldots$ | 0.5 |
|  | +EBL | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | . | 0.3 |

Figure: Set Partitioning

| $\begin{aligned} & \text { o } \\ & \text { o } \\ & \text { in } \end{aligned}$ | all answer sets |  | first answer set |  |
| :---: | :---: | :---: | :---: | :---: |
|  | Explicit | UFS | Explicit | UFS |
| 5 | 1.47 | 1.13 | 0.70 | 0.62 |
| 6 | 4.57 | 2.90 | 1.52 | 1.27 |
| 7 | 19.99 | 10.50 | 3.64 | 2.77 |
| 8 | 80.63 | 39.01 | 9.46 | 6.94 |
| 9 | 142.95 | 80.66 | 30.12 | 20.97 |
| 10 | 240.46 | 122.81 | 107.14 | 63.50 |

Figure: Argumentation (plain)

## Benchmark Results

| 000000 | (no answer sets) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | explicit check |  | UFS check |  |  |
|  | plain | +EBL | plain | +EBL | +UFL |
| 3 | 8.61 | 4.68 | 7.31 | 2.44 | 0.50 |
| 4 | 86.55 | 48.53 | 80.31 | 25.98 | 1.89 |
| 5 | 188.05 | 142.61 | 188.10 | 94.45 | 4.62 |
| 6 | 209.34 | 155.81 | 207.14 | 152.32 | 14.39 |
| 7 | 263.98 | 227.99 | 264.00 | 218.94 | 49.42 |
| 8 | 293.64 | 209.41 | 286.38 | 189.86 | 124.23 |
| 9 | - | 281.98 | - | 260.01 | 190.56 |
| 10 | - | 274.76 | - | 247.67 | 219.83 |

Figure: Consistent MCSs

| क्n区©0 | enumerating all answer sets |  |  |  |  | finding first answer set |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | explicit check |  | UFS check |  |  | explicit check |  | UFS check |  |  |
|  | plain | +EBL | plain | +EBL | +UFL | plain | +EBL | plain | +EBL | +UFL |
| 3 | 9.08 | 6.11 | 6.29 | 2.77 | 0.85 | 4.01 | 2.53 | 3.41 | 1.31 | 0.57 |
| 4 | 89.71 | 36.28 | 80.81 | 12.63 | 5.27 | 53.59 | 16.99 | 49.56 | 6.09 | 1.07 |
| 5 | 270.10 | 234.98 | 268.90 | 174.23 | 18.87 | 208.62 | 93.29 | 224.01 | 32.85 | 3.90 |
| 6 | 236.02 | 203.13 | 235.55 | 179.24 | 65.49 | 201.84 | 200.06 | 201.24 | 166.04 | 28.34 |
| 7 | 276.94 | 241.27 | 267.82 | 231.08 | 208.47 | 241.09 | 78.72 | 240.72 | 66.56 | 16.41 |
| 8 | 286.61 | 153.41 | 282.96 | 116.89 | 69.69 | 201.10 | 108.29 | 210.61 | 103.11 | 30.98 |
| 9 | - | 208.92 | - | 191.46 | 175.26 | 240.75 | 112.08 | 229.14 | 76.56 | 44.73 |
| 10 | - | - | - | 289.87 | 289.95 | - | 125.18 | - | 75.24 | 27.05 |

Figure: Inconsistent MCSs

## Benchmark Results

## Interesting Observations

■ Search space for UFS check potentially smaller than for explicit check

- Even if they have the same size the UFS check is mostly faster:

■ Less overhead (SAT vs. ASP instance)

- Easier for the solver to jump from one candidate to the next one

candidate smaller models of the reduct

candidate unfounded sets


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## Conclusion

## Evaluating HEX-Programs

- Compute a compatible set, then check if it is unfounded-free
- Encoded as nogood set consisting of a necessary and optimization part
- Unfounded sets allow for learning nogoods


## Implementation and Evaluation

- Prototype implementation based on Gringo and CLASP

■ Experiments show significant improvements by UFS-based minimality check
■ Further speedup by optimization part and learning

## Future Work

- Unfounded set check over partial interpretations
- Decision criterion for necessity of UFS-check
- Further restriction of search space to the relevant part


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